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JLDS  
c. Steptoe  
10-31-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**"INFORMATION DISCLOSURE STATEMENT"**

APPLICANT: Tony Albrecht et al. Docket No. P01,0121

SERIAL NO: 09/824,086 GROUP ART: 2881

DATE FILED: April 2, 2001 EXAMINER:

INVENTION: **"OPTICALLY PUMPED, SURFACE-EMITTING  
SEMICONDUCTOR LASER DEVICE AND METHOD  
FOR THE MANUFACTURE THEREOF"**

Assistant Commissioner for Patents  
Washington D.C. 20231

SIR:

In accordance with the provisions of 37 C.F.R. §1.56, Applicants  
submit the references identified on the attached PTO-1449 form, copies are  
enclosed herewith in accordance with 37 C.F.R. §1.98.

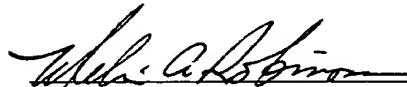
As of the mailing date of this Information Disclosure Statement, the  
first Office Action on the merits has not been received in connection with this  
application, and therefore this Information Disclosure Statement is in  
compliance with 37 C.F.R. §1.97(b)(3), and no fee is necessary.

**DISCUSSION OF RELEVANCE**

Enclosed are copies of the prior art references cited in the International  
Search Report for this application. Favorable consideration of the present

application in view of the enclosed art is hereby requested.

Respectfully submitted,

 (#31,870)

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail in an envelope addressed to:

The Commissioner for Patents  
Washington, D.C. 20231

on October 3, 2001.

